

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Volker SCHELLENBERGER, Donald P. NAKI, Thomas B. MORRISON  
Serial No.: Unassigned  
Filing Date: October 10, 2001  
Title: Information Rich Libraries

UTILITY PATENT APPLICATION & FEE TRANSMITTAL  
(for nonprovisional applications under 37 CFR § 1.53(b))

Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

## I. Elements of the Application

- ☒ Application [ 1 ] page(s) of Title Page  
[ 0 ] page(s) of Cover Sheet  
[ 56 ] page(s) of Specification  
[ 4 ] page(s) of Claims (31 claims)  
[ 1 ] page(s) of Abstract  
[ 2 ] sheets of Drawings [ ] Formal [X] Informal
- ☒ Declaration and Power of Attorney [ ] page(s)  
[X] Unexecuted [ ] Executed [ ] Copy from prior application (37CFR § 1.63(d))
- ☐ Deletion of Inventors: [ ] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b))
- ☐ Request and Certification Under 35U.S.C. 122(b)(2)(B)(i)
- ☐ Nucleotide and/or Amino Acid Sequence Submission:  
[ ] page(s) of Sequence Listing (paper copy)  
[ ] disk(s) containing Sequence Listing (computer readable copy)  
[ ] page(s) of Statement Under 37 CFR 1.821(f)
- ☐ Microfiche Computer Program Appendix \_\_\_\_\_

## II. Claim for U.S. Priority

- ☐ This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of Prior Application No. \_\_\_\_\_ filed \_\_\_\_\_. (35 USC §120)
- ☒ This application claims the benefit under 35 U.S.C. §119(e) of United States Application Serial No. 60/239,476 filed October 10, 2000. (Provisional Application)
- ☒ The entire disclosure of the prior application referenced above, is considered as being part of the application filed herewith and is herein incorporated by reference in its entirety.

## III. Claim for Foreign Priority

- ☐ This application claims the benefit under 35 USC §119 of Prior Application No. \_\_\_\_\_ filed \_\_\_\_\_.
- ☐ Certified Copy of Priority Document [ ] page(s)
- ☐ English Translation of Priority Document [ ] page(s)

**IV. Accompanying Documents**

- ☐ Assignment Papers [ ] page(s) of Recordation Cover Sheet [ ] page(s) of Assignment
- ☐ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [ ] Verified Small Entity Statement was previously filed in Prior Application No. \_\_\_\_\_ on \_\_\_\_\_, \_\_\_\_\_.
- ☐ Information Disclosure Statement [ ] page(s) of PTO-1449 [ ] copies of IDS References
- ☐ A Preliminary Amendment [ ] page(s)
- ☐ A copy of a Petition for Extension of Time ( \_ mos.) filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ Other (specify) \_\_\_\_\_
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

**V. Fee Calculation**

☒ The following fees are submitted:

				CALCULATIONS
		OTHER THAN SMALL ENTITY	SMALL ENTITY	\$
BASIC NATIONAL FILING FEE		\$ 740.00	\$ 370.00	\$740
EXTRA CLAIMS FEE				
CLAIMS	# FILED	# EXTRA	RATE	RATE
Total Claims	31 - 20 =	11	× \$18.00	× \$ 9.00
Independent claims	5 - 3 =	2	× \$84.00	× \$42.00
MULTIPLE DEPENDENT CLAIM(S)				
<input type="checkbox"/> Yes <input type="checkbox"/> No		\$280.00	\$140.00	\$
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).				
_____ (Numbers of Applications) × \$40.00				\$
OTHER FEES _____ (specify)				\$
OTHER FEES _____ (specify)				\$
<b>TOTAL FEES =</b>				\$ 61

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No.: **50-1189**, Docket No. **23623-7060**, in the amount of \$ \_\_\_\_\_ to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **50-1189**, Docket No. **23623-7060**.  
*A duplicate copy of this sheet is enclosed*

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

October 10, 2001

DATE

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NAME40,077  
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